# Separation–permeation performance of porous $Si_3N_4$ ceramics composed of columnar $\beta$ -Si<sub>3</sub>N<sub>4</sub> grains as membrane filters for microfiltration

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The separation-permeation performance of porous silicon nitride  $(Si_3N_4)$  ceramics (consisting of columnar grains connected at random in three dimensions) as membrane filters was evaluated, and compared with commercial  $Al_2O_3$  membranes having a three-layer structure.  $Si_3N_4$  membranes separate particles with diameters much less than their pore diameters. The permeability of  $Si_3N_4$  membranes with separability values the same as those of the  $Al_2O_3$  membranes was about 1.3–2.4 times as large as the  $Al_2O_3$  membranes. Dead-end filtration examination, using  $Al_2O_3$  particles with a particle size distribution, indicated that the  $Si_3N_4$  membrane filtration mechanism obeyed the cake filtration mechanism although the particle size was smaller than the pore size of the  $Si_3N_4$  membranes. © 1999 Kluwer Academic Publishers

### 1. Introduction

Porous ceramics have been used as new membrane filters for various filtration uses, such as microfiltration and ultrafiltration, due to their excellent properties, such as high heat resistance and high chemical resistance. Most of the commercial ceramic membranes, such as aluminum oxide  $(Al_2O_3)$  [1] and titanium oxide  $(TiO_2)$ [2], are produced by semisintering the green bodies of ceramic powders. It is widely known that the use of monolithic ceramics as membrane filters leads to a high pressure drop due to their low porosities. Therefore, commercial ceramic membranes are designed to have multilayer-structure (i.e. a thick support layer and a thin characteristic layer) to reduce the pressure drop in filtration [3]. However, a complicated production process is essential to produce ceramic membranes with multilayer-structure, resulting in high production costs.

Silicon nitride  $(Si_3N_4)$  ceramics have great promise for engineering applications due to their excellent properties, such as high strength, high fracture toughness, high thermal shock resistance and high chemical resistance [4]. Recently, we reported that porous  $Si_3N_4$ sintered ceramics with both high porosity and high strength could be fabricated by the powder metallurgy process [5]. In this report, we report the separation– permeation performance of porous  $Si_3N_4$  ceramics as membrane filters for microfiltration, compared with commercial  $Al_2O_3$  membranes.

### 2. Experimental procedure

### 2.1. Materials

Fig. 1 shows a typical scanning electron microscope (SEM) image of porous  $Si_3N_4$ . The porous  $Si_3N_4$  con-

sists of columnar  $\beta$ -Si<sub>3</sub>N<sub>4</sub> grains connected at random in three dimensions. Porous Si<sub>3</sub>N<sub>4</sub> sintered bodies with porosities of about 50% were used for evaluation as membrane filters. Their typical mechanical and thermal properties are shown in Table I.

Tubular Si<sub>3</sub>N<sub>4</sub> membranes (outer diameter, 10 mm; thickness, 1 mm; length, 100 mm) with three kinds of pore size distributions (specimens No. 4–6) were used to evaluate their separation–permeation performance. For comparison, commercial Al<sub>2</sub>O<sub>3</sub> membranes (specimens No. 1–3) with three-layer structure (outer diameter, 10 mm; thickness, about 1.5 mm; length, 100 mm) were also evaluated. Table II shows the thickness of the three layers determined from SEM images of the crosssections of the membranes. Fig. 2 shows the cumulative pore size distributions of the Si<sub>3</sub>N<sub>4</sub> membranes measured by mercury porosimetric analysis, compared with Al<sub>2</sub>O<sub>3</sub> membranes. The pore size distributions of the Al<sub>2</sub>O<sub>3</sub> membranes show those of characteristic layers.

### 2.2. Evaluation of separation–permeation performance

### 2.2.1. Separability and permeability

Separability was measured by dead-end filtration at a filtration pressure of 0.1 MPa using aqueous suspensions of polyethylene particles, i.e. Latex, with uniform diameters of 0.05–1.0  $\mu$ m. Their concentration was 0.1 kg m<sup>-3</sup> (100 p.p.m.). Suspensions of 100 ml were filtered with tubular membranes, one terminal of which was closed with epoxy resin. Separability was calculated from the variation in absorbance of the suspensions before and after filtration using absorbance spectrophotometric analysis.

TABLE I Typical properties of porous Si<sub>3</sub>N<sub>4</sub> ceramics

Properties	Values	
Porosity, %	50	
Flexural strength, MPa	200	
Thermal expansion coefficient, K <sup>-1</sup>	$2.1 \times 10^{-6}$	
Thermal shock resistance, $\Delta T$ , °C	1000	

TABLE II Thickness of ceramic membranes

Specimen No. Material		Characteristic layer (µm)	Intermediate layer (µm)	Support layer (µm)	
1	$Al_2O_3$	80	140	1350	
2	$Al_2O_3$	60	160	1350	
3	$Al_2O_3$	60	150	1440	
4	Si <sub>3</sub> N <sub>4</sub>	1000	0	0	
5	Si <sub>3</sub> N <sub>4</sub>	1000	0	0	
6	$Si_3N_4$	1000	0	0	



Figure 1 Typical microstructure of porous Si<sub>3</sub>N<sub>4</sub> ceramics.



*Figure 2* Pore size distributions of ceramic membranes: solid (specimens No. 4–6) and broken lines (specimens No. 1–3) represent  $Si_3N_4$  and  $Al_2O_3$  membranes, respectively.

The permeability of pure water was also measured by dead-end filtration at filtration pressures of 0.05-0.15 MPa.

## **2.2.2.** Analysis of the filtration mechanism Filtration of an $Al_2O_3$ suspension was carried out to analyse the filtration mechanisms of $Si_3N_4$ and $Al_2O_3$



*Figure 3* Relation between the particle size distribution of  $Al_2O_3$  suspension and the pore size distributions of  $Al_2O_3$  (specimen No. 3) and  $Si_3N_4$  (specimen No. 6) membranes.

membranes. The Si<sub>3</sub>N<sub>4</sub> membrane (specimen No. 6) and the Al<sub>2</sub>O<sub>3</sub> membrane (specimen No. 3), which had equivalent separability as demonstrated later, were used. An aqueous suspension of Al<sub>2</sub>O<sub>3</sub> particles with a median diameter of 0.5  $\mu$ m (AKP-50) was prepared, and was then filtered at a filtration pressure of 0.1 MPa by dead-end filtration. Its concentration and amount were 4 kg m<sup>-3</sup> and 500 ml, respectively.

Fig. 3 shows the relation between the particle size distribution of the suspension and the pore size distributions of  $Si_3N_4$  and  $Al_2O_3$  membranes. Where, the particle size distribution was measured by laser Doppler method, the relation between particle size and the pore sizes of both membranes was contrastive: in the case of the  $Si_3N_4$  membrane, most proes were larger than the particle sizes; in the case of the  $Al_2O_3$  membrane most pores were smaller than the particle sizes. Filtration time and cumulative permeance were measured, and filtration behaviour was analysed using some equations proposed for dead-end filtrations.

### 3. Results and discussion

### 3.1. Separability and permeability

Table III summarizes the separability of both ceramic membranes. The separability of  $Al_2O_3$  membranes depended seriously on their maximum pore diameters. The separability decreased drastically when the diameters of the particles were smaller than the maximum

TABLE III Separability of ceramic membranes

Material	Specimen No.	Separability (%)			
		Al <sub>2</sub> O <sub>3</sub>	1	99<	99<
2	99<		99<	29	13
3	99<		65	22	0
Si <sub>3</sub> N <sub>4</sub>	4	99<	99<	99<	93
	5	99<	99<	97	40
	6	99<	98	98	а

<sup>a</sup>Not measured.



Figure 4 Pure water flux of Al<sub>2</sub>O<sub>3</sub> and Si<sub>3</sub>N<sub>4</sub> membranes.

pore diameters. This indicates that separation using  $Al_2O_3$  membranes occurs by a sieve effect on the membrane surface. However, the  $Si_3N_4$  membranes separated Latex particles much smaller than the maximum pore diameter of the membrane. Furthermore, the separability did not decrease drastically with decreasing particle diameter. The Latex particles are highly dispersed in the suspension and their concentration is not large enough to form a thick cakelike layer on the surface of the membrane. Therefore, we consider that the high separability of  $Si_3N_4$  membranes cannot be ascribed only to the sieve effect.

Thus, the separability of the three kinds of  $Si_3N_4$ membranes (specimens No. 4–6), corresponds to that of the Al<sub>2</sub>O<sub>3</sub> membranes (specimens No. 1–3), respectively. This suggests that  $Si_3N_4$  membranes with pore diameters much larger than those of Al<sub>2</sub>O<sub>3</sub> membranes can be chosen for the separation of particles with constant diameters. Fig. 4 shows a comparison of the pure



*Figure 5* Various plots for analysis of the filtration mechanisms of  $Al_2O_3$  ( $\bullet$ ) and  $Si_3N_4$  ( $\bullet$ ) membranes: (a) *V* versus *t*/*V*, (b) ln *t* versus *V*, (c) *t* versus *t*/*V*, and (d) ln *V* versus *t* plots.

water flux of  $Al_2O_3$  and  $Si_3N_4$  membranes. In spite of monolayer-structured membranes, the permeances of the  $Si_3N_4$  membranes were 1.3–2.4 times as large as those of the  $Al_2O_3$  membranes. The high permeability of the  $Si_3N_4$  membranes is probably caused not only by their high porosity but also by their peculiar microstructure. However, such filtration behaviour of the  $Si_3N_4$  membranes commonly suggests that inner filtration rather than cake filtration may occur. Therefore, analysis of the filtration mechanism is necessary.

### **3.2.** Analysis of the filtration mechanism The following equation is proposed for some dead-end filtration mechanisms [6]

$$d(dt/dV)/dV = d^2t/dV^2 = b(dt/dV)^n$$
(1)

Where, t, V and dt/dV are filtration time, permeance and filtration resistance, respectively. The n value varied from 0 to 2.0. In the case of n = 0, filtration is governed by the cake layer mechanism operative on membranes, i.e. cake filtration. In the case of n = 1.0to 2.0, filtration is governed by the decrease in pore diameter, which is caused by occupancy of the pores by filtered particles, i.e. inner filtration. In particular, where n = 1.5 standard inner filtration occurs, and is a common feature of practical filtration. Integration of Equation 1 at each n value gives

$$n = 0$$
  $t/V = (K_c/2)V + 1/Q_0$  (2)

$$n = 1.0$$
  $K_i V = \ln(1 + K_i Q_0 t)$  (3)

$$n = 1.5$$
  $t/V = (K_s/2)t + 1/Q_0$  (4)

$$n = 2.0$$
  $K_{\rm b}V = Q_0[(1 - \exp(-K_{\rm b}t))]$  (5)

Where,  $K_c$ ,  $K_i$ ,  $K_s$  and  $K_b$  are constants; and  $Q_0$  is the initial flux. Accordingly, the filtration mechanism can be determined from the linearity in the *V* versus t/V, ln *t* versus *V*, *t* versus t/V and ln *V* versus *t* plots.

Fig. 5 shows a comparison of the plots for  $Al_2O_3$ (specimen No. 3) and  $Si_3N_4$  (specimen No. 6) membranes having the same separability. With the  $Al_2O_3$ membrane, clear linearity cannot be seen in *V* versus t/V plot (Fig. 5a). Considering the relation between the particle size distribution of the  $Al_2O_3$  suspension and the pore size distribution of the  $Al_2O_3$  membrane, there is a possibility that many particles are separated by inner filtration. In practice, linearity is seen at the initial time up to about 100 s in the *t* versus t/V plot (Fig. 5c). Therefore, filtration may proceed by inner filtration at the initial time, then it may shift to cake filtration.

On the other hand, there can be seen a linearity higher than that of  $Al_2O_3$  in the V versus t/V plot (Fig. 5a), using the Si<sub>3</sub>N<sub>4</sub> membrane-although almost all the particles are less than the pore sizes of the membrane. Thus, filtration almost seems to proceed by cake filtration using the  $Si_3N_4$  membrane. Similarly to the  $Al_2O_3$ membrane, however, we cannot negate inner filtration at the initial time, since there might be a linearity at the initial time up to about 100 s in the t versus t/V plot (Fig. 5c). Such cake filtration, despite the pore sizes being larger than the particle sizes, is probably caused by the peculiar microstructure; the pore form of the Si<sub>3</sub>N<sub>4</sub> membranes is seriously different from that of the Al<sub>2</sub>O<sub>3</sub> membranes. We consider that the pore size distributions of the Si<sub>3</sub>N<sub>4</sub> membranes measured by mercury porosimetric analysis do not correspond to actual pore size distributions of the membranes; conventional filtration theory cannot be applied directly to the structure of porous Si<sub>3</sub>N<sub>4</sub> consisting of columnar grains connected at random in three dimensions. Membranes having such a microstructure possibly have slit-like pores.

### 4. Conclusions

The separation–permeation performance of porous  $Si_3N_4$  ceramics composed of columnar grains connected at random in three dimensions as membrane filters, was evaluated compared with commercial  $Al_2O_3$  membranes with three-layer structure. We can conclude:

1. The  $Si_3N_4$  membranes can separate particles with diameters less than their pore sizes in dead-end filtration using Latex particles.

2. Filtration with  $Si_3N_4$  membranes obeyes the cake filtration mechanism although the size of filtered particles is smaller than the pore size of the membranes.

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